JC10 Rec'd PCT/PTO 06 MAY 2005 ATTORNEY'S DOCKET NUMBER U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE TUR-168 Not yet assigned 34091 PRIORITY DATE CLAIMED November 8, 2002

FORM PTO-1390 (REV. 12-2004) TRANSMITTAL LETTER TO THE UNITED STATES

DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A FILING UNDER 35 U.S.C. 371**

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE November 10, 2003

TITLE OF INVENTION

OROMUCOSAL FORMULATION AND PROCESS FOR PREPARING THE SAME

APPLICANT(S) FOR DO/EO/US

PCT/FI2003/000850

Juha-Matti SAVOLA, Päivi JUUJÄRVI and Jukka ILKKA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
- 2.

 This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- 3. A This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- 4.
 ☐ The US has been elected (Article 31).
- 5. ☑ A copy of the International Application as filed (35 (U.S.C. 371(c)(2))
 - a. \square is attached hereto (required only if not communicated by the International Bureau).
 - b. A has been communicated by the International Bureau.
 - c.

 is not required, as the application was filed in the United States Receiving Office (RO/US).
- ⊕ □ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))
 - a.

 is attached hereto.
 - b. ☐ has been previously submitted under (35 U.S.C. 154(d)(4)).
- 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a.

 are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. \square have not been made; however, the time limit for making such amendments has NOT expired.
 - d. A have not been made and will not be made.
- 8.

 An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
- 9. ☑ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

- 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. ☑ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13.

 ☐ A preliminary amendment.
- 14. 🗀 An Application Data Sheet under 37 CFR 1.76.
- 15.

 A substitute specification.
- 16. ☐ A power of attorney and/or change of address letter.
- 17. □ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 1.825.
- 18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
- 19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- 20. □ Other items or information:

JC06 Rec'd PCT/PTO 06 MAY 2005

| | | <u> </u> | JONG HER ALL | | · - |
|---|-----------------|--|--------------|------------|-----------------|
| U.S. APPLICATION NO. Not yet assigned 3 | 4091 | INTERNATIONAL APPLICATION NO. PCT/F12003/000850 | | ATTORNEY'S | S DOCKET NUMBER |
| The following fees | are submitted | | | | |
| 21. 🗵 Basic National Stage fee | | | | | 00.00 |
| 22. National Stage Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority \$100.00 International Search Report prepared and provided to the Office \$400.00 All other situations \$500.00 | | | | | 00.00 |
| 23. National Stage Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) \$ 100.00 All other situations \$ 200.00 | | | | | 00.00 |
| TOTAL OF ABOVE CALCULATIONS = | | | | | 00.00 |
| ☐ Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). Thee fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | |
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | RATE | | |
| 14 - 100 = | / 50 = | | x \$250.00 | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | | |
| CLAIMS | NUMBER | NUMBER EXTRA | RATE | \$ | |
| Total Claims | 12 - 20 = | | x \$ 50.00 | \$ | |
| Independent claims | 1 - 3 = | | x \$200.00 | \$ | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00 | | | | | |
| TOTAL OF ABOVE CALCULATIONS = | | | | | 900.00 |
| △ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½. | | | | | 150.00 |
| SUBTOTAL = | | | | | 150.00 |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | | |
| TOTAL NATIONAL FEE = | | | | | 150.00 |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | | | | 40.00 |
| TOTAL FEES ENCLOSED = | | | | | 190.00 |

JC06 Rec'd PCT/PTO 0.6 MAY 2005

| U.S. APP | PLICATION NO. (IT KOWY) 1 | INTERNATIONAL APPLICATION NO. PCT/F12003/000850 | | ATTORNEY'S DOCKET TUR-168 | NUMBER | | | | |
|---|--|--|----------------|------------------------------|---------------------------------------|--|--|--|--|
| - | 0 / | | | Amount to be refunded: | \$ | | | | |
| | | | | charged: | \$ | | | | |
| a. 🗆 | A check in the amount of | to cover the above fee | s is enclosed. | | | | | | |
| b. 🗆 | Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | | | | |
| c. 🖾 | The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>50-1258</u> . A duplicate copy of this sheet is enclosed. | | | | | | | | |
| d. ⊠ | d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status. | | | | | | | | | |
| SEND | ALL CORRESPONDENC | E TO: | _ lam | L Luda | | | | | |
| | omer No. 32954 | - , | SIGNATURE | | | | | | |
| | | | James C | . Lydon | · · · · · · · · · · · · · · · · · · · | | | | |
| • | | | 30.082 | | | | | | |
| `• | | | REGISTRATIO | ON NUMBER | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| ^ | | | | | | | | | |
| 1, | | | | | | | | | |
| <i>(</i> 2 | | | | | | | | | |